

Name:

Enrolment No:



UNIVERSITY OF PETROLEUM AND ENERGY STUDIES

End Semester Examination, December 2019

Course: Wealth Management & Investment Banking

Semester: V

Program: B.Tech-CS-BFSI

Time 03 hrs.

Course Code: CSBI3003

Max. Marks: 100

Instructions: All questions are compulsory, however internal choices mentioned.

SECTION A

S. No.		Marks	CO
Q 1	List the three steps in life cycle of Wealth Management.	4	CO1
Q 2	Comprehend the financial product "Interest rate product".	4	CO2
Q 3	Define Hedge Funds.	4	CO3
Q 4	Describe the term propriety trading.	4	CO2
Q 5	Explain the role of brokerages in investment	4	CO5

SECTION B

Q 6	Describe the role of non-banking players in investment banking.	10	CO5
Q 7	Comprehend the characteristics of Advisory services.	10	CO4
Q 8	Discuss the Gaps and challenges in technology landscape.	10	CO3
Q 9	Distinguish the role of investment bank and personal bankers in details. Or, Comprehend the requirements for the third party systems integration.	10	CO4

SECTION-C

Q 10	Analyze the different products and functioning of Investment Banking in details.	20	CO1
Q 11	a) Comprehend the various advisory services provided by insurance companies. b) Comprehend the various advisory services provided by investment banks. Or, Write short notes on the following. a) Debt b) Derivative Trading c) Structured Product d) NAV	(10+10))/ (5+5+ 5+5)	CO4, CO2

