

Name:

Enrolment No:



UNIVERSITY OF PETROLEUM AND ENERGY STUDIES
End Semester Examination, December 2018

Program: B.Tech.- CS – BFSI

Subject (Course): Wealth Management & Investment Banking

Course Code : CSIB330

No. of page/s: 01

Semester – V

Max. Marks : 100

Duration : 3 Hrs.

Instructions: Attempt all questions. Q9 & Q11 are having Internal choices.

SECTION A

S. No.		Marks	CO
Q 1	Categorize various investment strategies used by investment banker.	4	CO1
Q2	Discuss the influential features of financial planning for the clients.	4	CO1
Q3	Life insurance for individuals in today's world is very much in demand .Discuss the significance of it in individual's life.	4	CO2
Q4	Identify biggest challenges in technology framework of Wealth Management.	4	CO3
Q5	Illustrate contribution of regulatory framework in investment banking.	4	CO3

SECTION B

Q 6	Define structured products. Compare structured products with credit products.	10	CO4
Q7	Where is the central location of the Forex Market? When is the forex market open for trading?	10	CO2
Q8	Describe current technological landscape of investment banks.	10	CO3
Q9	State important characteristics of advisory services. Illustrate how clear goal setting and well specified pre-conditions results in good advisory services. Or, Identify role of non-banking entities in advisory services. Interpret areas in which advisory services provided by investment banks.	10	CO4

SECTION-C

Q 10	a) Describe the role of Insurance in investment banking. b) List out the roles of brokerages in investment banking.	10 10	CO1/ CO2
Q11	a) What are the gaps and challenges in technology landscape? b) Interpret areas in which advisory services provided by investment banks. Relate management buy outs with expansion of business. Or, a) Discuss the requirements for the third party systems integration (Banking, Insurance and others). b) Illustrate contribution of regulatory framework in investment banking.	10+10	CO3/ CO4