


Name: Enrolment No:	
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UNIVERSITY OF PETROLEUM AND ENERGY STUDIES
Online End Semester Examination, May 2021

Course: Marketing of Mutual Fund
Program: BBA FAS
Course Code: FINC 2004

Semester: IV
Time 03 hrs.
Max. Marks: 100

SECTION A

- 1. Each Question will carry 5 Marks**
- 2. Instruction: Complete the statement / Select the correct answer(s)**

S. No.	Question	CO
Q 1	The legal responsibility for the accuracy of the statements made in the offer document lies with a. SEBI b. The AMC c. AMFI d. The Company Law Board	CO2
Q2	The functions and responsibilities of the sponsor, AMC, trustees and custodian of the mutual fund are listed in a. Offer document only b. Key information memorandum c. Both offer document and key information memorandum d. None of the above	CO1
Q3	The AMFI code of ethics does not cover the following prescriptions a. Adequate disclosures should be made to the investors b. Funds should be managed in accordance with stated investment objectives c. Conflict of interest should be avoided in dealings with directors or employees d. Each investment decision should be approved by investors	CO3
Q4	Equity oriented mutual funds a. Assure income b. Assure growth c. Invest in debentures d. Invest in shares	CO3
Q5	Balanced fund is a mutual fund that a. Assures income b. Invests in debt and equity c. Assure growth d. Gives fixed returns	CO2
Q6	An open-ended mutual fund is one that has: a. An option to invest in any kind of security b. Units available for sale and repurchase at all times c. An upper limit on its NAV d. A fixed fund size	CO2

SECTION B

- 1. Each question will carry 10 marks**
- 2. Instruction: Write short / brief notes**

	Attempt all questions	
Q 7	Discuss the importance of Fact Sheet and state the information included in Fact Sheet. Or	CO1

	Classify mutual funds and compare the benefits of investment in mutual funds with other investment options.																	
Q 8	Distinguish among the following performance measures namely Sharpe ratio, Treynor ratio and Jensen Alpha. Or Discuss the salient features of the AMFI Code of Ethics and AMFI	CO3																
Q 9	A mutual fund is a type of investment vehicle consisting of a portfolio of stocks, bonds, or other securities, which is overseen by a professional money manager”. .Discuss the Structure of Mutual fund in brief?	CO3																
Q 10	Discuss who can invest in mutual funds in India? Elaborate the document which are required for investing in Mutual funds.	CO2																
Q 11	Consider the following data of Sun India Mutual Fund (Growth Plan): (Rs. Crores) Value of investments 2757.00 Receivables 221.00 Other current assets 643.00 Liabilities 390.00 Accrued expenses 87.00 If the number of outstanding units is 155 crore and sales charge is 2.20% on the NAV, what would be the public offering price? Or Discuss the Investor rights and obligations in Mutual Funds.	CO4																
Section C																		
1. Each Question carries 20 Marks. 2. Instruction: Write long answer. 3. Attempt any one																		
Q12	From the following information evaluate the portfolios using Sharpe, Jensen and Treynor Model. <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th>Portfolio A</th> <th>Portfolio B</th> <th>Portfolio C</th> </tr> </thead> <tbody> <tr> <td>Return</td> <td>21%</td> <td>25%</td> <td>20%</td> </tr> <tr> <td>Beta</td> <td>0.9</td> <td>1.6</td> <td>1.0</td> </tr> <tr> <td>Standard Deviation</td> <td>5%</td> <td>6%</td> <td>9%</td> </tr> </tbody> </table> Market Return 12% Risk Free Rate 8%. Or “The changing competitive context has led to the emergence of institutional channels of distribution, to supplement the individuals who distribute mutual funds”. Discuss the role of Institutional Channels in present scenario and how they are meeting the increased expectations of the investors.		Portfolio A	Portfolio B	Portfolio C	Return	21%	25%	20%	Beta	0.9	1.6	1.0	Standard Deviation	5%	6%	9%	CO3
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