

Name:	 UPES UNIVERSITY WITH A PURPOSE
Enrolment No:	

UNIVERSITY OF PETROLEUM AND ENERGY STUDIES
Online End Semester Examination, May 2020

Course: International Financial Regulation
Program: BALLB (BFIL)
Course Code: CLBN 4003

Semester: VIII
Time 03 hrs.
Max. Marks: 100

SECTION A

- 1. Each Question will carry 5 Marks**
- 2. Instruction: Complete the statement / Select the correct answer(s)**

S. No.	Question	CO
Q 1	What is Bretton Woods agreement and system.	CO3
Q2	Explain the role of World Bank in post world war II scenario.	CO4
Q3	What is Bank for International Settlement?	CO3
Q4	What do understand by international financial regulation	CO2
Q5	What is Basel Committee? Why was it created?	CO1
Q6	What is role of RBI in International Financial Regulation?	CO3

SECTION B

- 1. Each question will carry 10 marks**
- 2. Instruction: Write short / brief notes**

Q 1	Elucidate on the concept of bank and banking in Indian law.	CO1
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Q 2	What is capital adequate ratio for Basel I and Basel II.	CO1
Q 3	What is Mark to Market and its relevance in international financial regulation?	CO4
Q 4	What are the three main pillars of Basel II? Elucidate.	CO3
Q 5	Explain the role of failure of Bankhaus Herstatt that led to the formation Basel Committee which paved the way for international financial Regulation.	CO2

Section C

1. Each Question carries 20 Marks.

2. Instruction: Write long answer.

Q1	<p>Over the past three decades the most difficult task of the financial regulator has been to keep up with the changing marketplace that he or she is supposed to be regulating. The speed of change has, if anything, accelerated, with the continuous development of new trading strategies and new “products”, linking assets, markets and currencies in new ways, and creating new risks. In this febrile environment the regulator needs the guidance of a coherent theoretical understanding of the propagation and management of systemic risk, as well as the pragmatic understanding of market institutions and the concrete tools to manage the risk.</p> <p>In light of this statement analyse the theoretical issues pertaining to international financial regulation.</p>	CO2
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