

Name:	
Enrolment No:	

UNIVERSITY OF PETROLEUM AND ENERGY STUDIES
End Semester Examination, Dec 2020

Programme Name: B.Tech. (CSE+BFSI)	Semester : V th
Course Name : Wealth Management and Investment Banking	Time : 03 hrs.
Course Code : CSBI 3012	Max. Marks : 100
Nos. of page(s) : One	
Instructions : Attempt all questions. All questions are compulsory.	

SECTION A

S. No.	Question	Marks	CO
Q 1	Discuss Repo rate and reverse repo rate.	5	CO2
Q 2	Illustrate the life cycle of wealth management.	5	CO1
Q 3	Identify gaps and challenges in technological landscape in wealth management?	5	CO1
Q 4	Comprehend the role of personal banker in wealth management.	5	CO5
Q 5	Provide the detailed analysis of brought effort deal and best effort deals in IPO selling.	5	CO2
Q 6	“Insurance is a subject matter of solicitation” Comment on this.	5	CO4

SECTION B

Q 7	Explain following terms- Interest rate product, Forex market, Hedge funds, Mutual Funds.	10	CO2
Q 8	Define structured products. Compare structured products with credit products	10	CO4
Q 9	Illustrate contribution of regulatory framework in investment banking.	10	CO4
Q 10	Illustrate the area in which advisory services provided by Investment Banks and explain them in details.	10	CO4
Q 11	Discuss the case study of Gold Man Sachs : An Investment Banker Or, Discuss the case studies of 5paisa.com : An Stock Brokering firm.	10	CO5

SECTION-C

Q 12	Explain the functioning of investment banking in details. Or, Explain significance of brokerage in wealth management. Classify brokerage and give advantages and disadvantages of each.	20	CO3
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