


Name:	 UPES <small>UNIVERSITY OF TOMORROW</small>
Enrolment No:	

UNIVERSITY OF PETROLEUM AND ENERGY STUDIES
End Semester Examination, May 2023

Course : Investment in stock markets	Semester : 2nd
Program: B Com LLB (H)	Time : 03 hrs.
Course Code : CLNL1042	Max. Marks: 100

Instructions: All questions are compulsory

SECTION A
5Q x 2M = 10Marks

S. No.		Marks	CO
Q1	Secondary market deals in securities which areissued to investors. A. Not B. New issue C. Already D. None of these	2	1
Q2	The of number of shares given in consideration to another company which is acquired is called swap ratio. A. Ratio B. Multiplication C. Both a and b D. None of these	2	1
Q3	Portfolio is a combination of in which a investor invests to generate returns. A. Markets B. Investments C. Houses D. None of these	2	1
Q4	Money market deals in short term instruments of upto year. A. One B. Two C. Three D. None of these	2	1
Q5	FEM is a market in which different are purchased and sold. A. Market B. Currencies C. Debts D. None of these	2	1

SECTION B
4Q x 5M = 20 Marks

Q6	Explain the concept of Amalgamation.	5	2
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Q7	Valuation of Securities is done to determine its true value and the shareholders are very much interested in it. Explain this statement.	5	2
Q8	Explain the procedure of Preparing income statement.	5	2
Q9	Mergers and Amalgamations are related to each other. Do you agree? Explain.	5	2
SECTION-C 2Q x 10M = 20 Marks			
Q10	An investor wants to invest small amount of money along with some other small investors which is managed by a fund manager. Explain the concept indicated in this statement	10	3
Q11	Write short notes on A. Capital market B. Corporate valuation.	10	3
SECTION-D 2Q x 25M = 50 Marks			
Q12	A investor is interested in making investments for a short period of time so that he can get fixed returns. Explain the mode of investment which is suitable in this case and why?	25	4
Q13	The swap ratio or exchange ratio is calculated by considering many variables. Name and explain them with the help of example.	25	4