


Name: Enrolment No:			
UNIVERSITY OF PETROLEUM AND ENERGY STUDIES End Semester Examination, December 2022			
Course: LAW OF CORPORATE FINANCE Semester: VII Program: BBA LLB H CL Course Code: CLBN4002		Time : 03 hrs. Max. Marks: 100	
Instructions: Answer all the questions.			
SECTION A (5Qx2M=10Marks)			
S. No.		Marks	CO
Q 1	Mention how a company may become a listed company	2	CO1
Q 2	Differentiate share from debenture of a company.	2	CO1
Q 3	Explain about sweat equity shares.	2	CO1
Q 4	How does public corporation differ from government company?	2	CO1
Q 5	Whether companies can accept deposit from public in India?	2	CO1
SECTION B (4Qx5M= 20 Marks)			
Q 6	What is the role of Reserve Bank of India in external commercial borrowings?	5	CO2
Q 7	Distinguish between Foreign Portfolio Investment and Foreign Direct Investment	5	CO2
Q 8	Distinguish between primary market and secondary market	5	CO2
Q 9	How angel fund is helpful for new start up and innovative businesses? Analyze in the light of alternative investment funds.	5	CO2
SECTION-C (2Qx10M=20 Marks)			
Q 10	Explain the history and development of securities laws from 18 th century and after independence and subsequently during 90s in India.	10	CO3
Q 11	Dehradun Gramin Bank is a regional rural bank and a state government undertaking having business relating to financing and investment in northern part of India. Ms. Jayshree, Company Secretary of this Gramin Bank seeks your advice as company wishes to enter in Mutual Funds business. Dehradun Gramin Bank	10	CO3

	<p>with Mussorie Finance Services Ltd, a NBFC, have formed one trust registered under Indian Trust Act, 1882 and at the same time, she also informed you that zonal office of State Bank of India has given their assent to be the Sponsor of this trust. Now Ms. Jayshree wants to know.</p> <p>a) What are various organs needed relating to formation of Mutual Funds in India and their business?</p> <p>b) Briefly describe the functioning of mutual funds in India and why Mutual Funds are comparatively successful Indian securities market?</p>		
SECTION-D (2Qx25M=50 Marks)			
Q 12	<p>Himalayan Orchid is a prominent hotel group doing hospitality service throughout the Dehradun, Mussorie, Nainital and now wants to introduce a scheme for investment from public. The Company wants to issue Silver Card, Golden Card and Platinum Card with a monthly investment @ Rs. 1000, Rs. 3000 and Rs. 5000 respectively for public to become member of their club and to avail the facility of their hotels in the country. The membership of the card holders will be for 3, 4 and 5 years respectively and if any member does not avail any service during his membership, he will get back his money @ 9 % interest per annum. The Directors have come to you to know</p> <p>Whether their scheme will be treated as share investment or not?</p> <p>Is any permission needed from Reserve Bank of India for such type of scheme?</p> <p>What are existing laws for governing collective investment scheme in India?</p> <p>Is it coming under the purview of Public Deposit in companies law?</p>	25	CO4
Q 13	<p>Evaluate the role of Securities Exchange Board of India in protection of investor's rights and prohibition of fraudulent market practices.</p>	25	CO4