

Name:
Enrolment No:



UNIVERSITY OF PETROLEUM AND ENERGY STUDIES
End Semester Examination, December 2022

Course: Corporate Governance

Semester: I

Program: LL.B (3 years)

Course Code: CLCP1001

Time : 03 hrs.

Max. Marks: 100

Instructions:

SECTION A
(5Qx2M=10Marks)

S. No.		Marks	CO
A	Fill in the blanks		
1.	Maximum amount of private placements that can be done in a year is 200 except___	2	CO1
2.	Three triggers for open offer under takeover regulations are _____	2	CO1
3.	Related party transactions are allowed only when_____	2	CO1
4.	Indian takeover market is characterized by large ___ shareholding	2	CO1
5.	Qualified institutional investors are _____	2	CO1

SECTION B
(4Qx5M= 20 Marks)

B	Statement of question		
6.	Explain how related party transactions can be manipulated?	5	CO2
7.	Advise a promoter of a listed company methods through which promoter can trade legally under insider trading regulations of SEBI.	5	CO2
8.	Advise a private client on compliances required in preparing a trading plan under SEBI (Prohibition of Insider Trading) Regulations, 2015	5	CO2
9.	Explain one private placement regulation violations in India with detailed facts?	5	CO2

SECTION-C
(2Qx10M=20 Marks)

C	Statement of question		
10.	“Independent Directors are puppets” Critically analyze the statement.	10	CO3

11.	“Directors are trustees of the company” critically analyze statement.	10	CO3
SECTION-D (2Qx25M=50 Marks)			
D	Statement of question- Answer the following		
12.	Judgment by Supreme Court on Tata Mistry saga is not correct stance. Not only analyze the judgment but try providing a better view on the case.	25	CO4
13.	A public listed company into technology sector is being acquired by another huge tech company. The takeover is not friendly. Advise the target company on various takeover defenses that can be taken.	25	CO5