Name:

Enrolment No:



UNIVERSITY OF PETROLEUM AND ENERGY STUDIES

Online End Semester Examination, May 2021

Course: Financial ServicesSemester: VIProgram: BBA AISTime 03 hrs.Course Code: FINC 3005Max. Marks: 100

SECTION A

- 1. Each Question will carry 5 Marks
- 2. Instruction: Complete the statement / Select the correct answer(s)

S. No.	Question		CO	
Q 1		on conducting money market activities and: Underwriting and financial advice	CO2	
	=	All of the above		
Q2	Banks implement the RBI's po			
~ -	a) Monetary b) Credit		CO3	
	c) Commercial d) Both a and	b		
Q3	Financial services through the network of elements such as, serve the needs of			
	individuals, institutions and Corporate.		CO2	
	a. Financial institutions c. Finan	ncial markets		
	b. Financial instruments d. All of	of the above		
Q4	Identify the benefits of credit-rating to investors:			
	a. Safeguard against bankruptcy		CO2	
	b. Saving of resources			
	c Credibility of issues			
	d. All of the above			
Q5	pools money from investors and invests in stocks, bonds and shares		G0.	
	(a) A bank	(b) A merchant banker	CO2	
	(c) A factor	(d) A mutual fund		
Q6	Functions of Reserve bank are:			
	(a) Bankers Bank	(b) Bankers to Government	CO2	
	(c) Lender of last resort	(d) All of the above		

SECTION B

- 1. Each question will carry 10 marks
- 2. Instruction: Write short / brief notes

	Attempt all questions	
Q 7	Discuss the important functions performed by an efficient financial system in an economy. Does it help in the economic development of a country?	CO1
Q 8	Discuss the Role of Financial Services in Economic Development.	CO3
Q 9	Discuss the Role of RBI as a Regulator of Money Market and controlling flow of money through monetary policy.	CO3
Q 10	"Merchant banks are financial institutions and companies that deal with international finance for multinational corporations". Discuss the function of merchant banker and highlight the financial services provided by merchant banks	CO2
Q 11	"Mutual funds are extremely important in the financial system of an economy. Do you agree?	
	Classify Mutual Funds in brief.	CO4

	Section C		
1.	Each Question carries 20 Marks.		
2.	Instruction: Write long answer.		
3.	Attempt any one		
Q13	Financial services industry in India has grown remarkably during the last few years. Do you agree ? Explain various developments in brief. Or	CO3	
	"SEBI monitors and regulates the Indian capital and securities market while ensuring to protect <i>the</i> interests of the investors". Discuss the importance of SEBI in regulating capital market and spreading investor education and protection program.		